

LOOKING FORWARD LARGE\$ PORTFOLIO HOLDINGS\$

<u>FUND BEING REDEEMED / \$OLD</u>		<u>NEW FUND BEING PURCHASED</u>	
1. _____	6. _____	1. _____	6. _____
2. _____	7. _____	2. _____	7. _____
3. _____	8. _____	3. _____	8. _____
4. _____	9. _____	4. _____	9. _____
5. _____	10. _____	5. _____	10. _____
Morningstar Rating: _____		Morningstar Rating: _____	

I / We understand that _____ fund investment strategies range from conservative low to aggressive high risk. I / We have _____ personally determined and established or I / we have discussed, determined and established with _____ my / our investment advisor, my / our overall investment, objectives, needs and _____ fund RISK / REWARD tolerances as follows:

<u>ASSET MIX</u>	<u>RISK\$ vs. REWARDS\$</u>
_____ % Value	_____ % Invest. Grade
_____ % Growth	_____ % Good Quality
_____ % Income	_____ % Speculative
_____ % Momentum	_____ % High Risk
100 % Total	100 % Total

15 MOST COMMON INVESTMENT FUND RISK REWARD NUMERIC\$

1. Alpha: _____	6. Downside Magnitude: _____	11. Sharpe Ratio: _____
2. Beta: _____	7. Jensen's Measure: _____	12. Skewness: _____
3. Correlation Benchmark: _____	8. Mornigstar Rating: _____	13. Sortino Ratio: _____
4. Downside Risk: _____	9. Mean: _____	14. Standard Deviation: _____
5. Downside Frequency: _____	10. R-Squared: _____	15. Treynor's Measure: _____

TRAN\$ATION: CO\$T\$ + ADVI\$OR REMUNERATION & INV. POLICY STMT

<p>PO\$ Transaction Charge: ___ % F-E Service Charge \$ _____ ___ % No-Load; Low-Load \$ _____ ___ % D\$C* rear-end load \$ _____ ___ % Level Load 4* D\$C \$ _____ ___ % Series "F" Units \$ _____ a D\$C* begins @ ___ % on the: ___ Book Value or ___ NAV falling to zero % after ___ Year\$.</p> <p>Ⓛ D\$C Rebate ___ % \$ _____</p> <p>Ⓜ Admin. Co\$t To Buy: \$ _____</p> <p>Ⓝ Monthly Account Fee: \$ _____</p> <p>Ⓞ Early Withdrawal Penalty: is ___ % within ___ days.</p> <p>Ⓟ Direction\$ To Sell <u>Must</u> Be received by: ___ Phone, ___ Fax ___ E-mail before ___:___ M to obtain same day closing price</p> <p>Ⓠ Admin. Co\$t To Sell: \$ _____</p>	<p>Management Expense Ratio (MER): The fund manager deducts an annual MER of ___ % on a pro-rata basis before the fund's NAV is calculated. The fund manager then pay\$ a Trailer Fee Commission of ___ % from the MER to your advisor.</p> <p>Ⓛ MER/Trailer Fee Rebate ___ %</p> <p>Ⓜ D\$C* trailer ▲ to ___ % in Yr. ___</p> <p>Ⓝ EXTRA Advisory Fee\$: _____</p> <p>Ⓞ Fund of fund\$/Wrap: ___ %</p> <p>Ⓟ MER Performance Bonu\$: ___ % above ___ benchmark.</p> <p>Ⓠ This is an ___ Arm'\$ Length or a ___ Proprietary Fund.</p>	<p>\$tmt of Investment Policy: <u>Asset Mix Guideline Ranges\$</u></p> <table style="width:100%; border-collapse: collapse;"> <tr> <td style="padding: 5px;">Cash</td> <td style="padding: 5px;">_____ to _____ %</td> <td style="padding: 5px;">_____ %</td> </tr> <tr> <td style="padding: 5px;">Fixed Income*</td> <td style="padding: 5px;">_____ to _____ %</td> <td style="padding: 5px;">_____ %</td> </tr> <tr> <td style="padding: 5px;">CDN Equities</td> <td style="padding: 5px;">_____ to _____ %</td> <td style="padding: 5px;">_____ %</td> </tr> <tr> <td style="padding: 5px;">Intern. Equities</td> <td style="padding: 5px;">_____ to _____ %</td> <td style="padding: 5px;">_____ %</td> </tr> <tr> <td style="padding: 5px;">Real Estate</td> <td style="padding: 5px;">_____ to _____ %</td> <td style="padding: 5px;">_____ %</td> </tr> <tr> <td style="padding: 5px;">Derivatives</td> <td style="padding: 5px;">_____ to _____ %</td> <td style="padding: 5px;">_____ %</td> </tr> <tr> <td style="padding: 5px;">Max. \$hort \$ales to</td> <td style="padding: 5px;">_____ %</td> <td style="padding: 5px;">_____ %</td> </tr> <tr> <td style="padding: 5px;">Max. Leverage to</td> <td style="padding: 5px;">_____ %</td> <td style="padding: 5px;">_____ %</td> </tr> </table> <p>Portfolio Turnover: ___ % / Yr.</p> <table style="width:100%; border-collapse: collapse;"> <tr> <td style="padding: 5px;"><u>Unit Value\$</u></td> <td style="padding: 5px;"><u>*Fixed Income</u></td> <td style="padding: 5px;">Fund's</td> </tr> <tr> <td style="padding: 5px;">Book \$ _____</td> <td style="padding: 5px;">Av. Term _____</td> <td style="padding: 5px;">Sharpe</td> </tr> <tr> <td style="padding: 5px;">NAV \$ _____</td> <td style="padding: 5px;">Duration _____</td> <td style="padding: 5px;">Volatility</td> </tr> <tr> <td style="padding: 5px;">% Capital Gains _____ %</td> <td style="padding: 5px;">Min. Cr. Rating _____</td> <td style="padding: 5px;">Rating</td> </tr> </table>	Cash	_____ to _____ %	_____ %	Fixed Income*	_____ to _____ %	_____ %	CDN Equities	_____ to _____ %	_____ %	Intern. Equities	_____ to _____ %	_____ %	Real Estate	_____ to _____ %	_____ %	Derivatives	_____ to _____ %	_____ %	Max. \$hort \$ales to	_____ %	_____ %	Max. Leverage to	_____ %	_____ %	<u>Unit Value\$</u>	<u>*Fixed Income</u>	Fund's	Book \$ _____	Av. Term _____	Sharpe	NAV \$ _____	Duration _____	Volatility	% Capital Gains _____ %	Min. Cr. Rating _____	Rating
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